



Keith Windler

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- EXPERIENCE**
- 03/2014 – present **VP, INTERNAL AUDIT, MORTGAGE SERVICES** **Houston, TX**
- Work effectively with management to evaluate our mortgage insurance businesses, related risks, and related controls including monitoring of aggregate exposures as well as operational and financial processing risks
 - Perform annual risk assessments, develop annual internal audit plans for the organization's mortgage (re)insurance entities and contribute to the ACGL level risk assessment and internal audit plan
 - Execute the internal audit plans, revise those plans and individual audit scopes as necessary
 - Establish objectives and scopes for audits, oversee the procedures and effectively communicate audit observations and recommendations with senior management
 - Prepare clear and concise written audit reports and Audit Committee meeting presentations
 - Facilitate the sharing of best practices across the Company's entities
 - Audits include areas such as risk management, underwriting, premiums, policy/contract administration, claims, ceded reinsurance, financial reporting and information technology
- 04/2008 – 02/2014 **SECOND VP, INTERNAL AUDIT** **San Francisco, CA**
- Serve as the senior Audit liaison and strategic business partner to key business area(s), which includes establishing and maintaining productive relationships with area leadership
 - Oversee all assurance and advisory service projects in assigned business area(s)
 - Continuously develop and maintain strong business area expertise; stay abreast of all business area operational changes and emerging risks; provide subject matter knowledge to the Audit Department
 - Lead and manage the corresponding internal audit annual risk assessment process, as well as ongoing risk evaluation, for the assigned business area(s)
 - Develop, plan and oversee the annual audit plan for the assigned business area(s)
 - Complete and support an annual audit opinion on assigned business area(s)
 - Lead, manage and execute complex assurance and advisory projects in accordance with best practices, established methodologies and professional standards
- 05/2005 – 12/2007 **VP, INTERNAL AUDIT** **Boston, MA**
- Collaborate with A&F's leadership team, ensuring strong communication, support, and buy-in
 - Present findings and recommendations to the Audit Committee and the COO/CFO on the status of outstanding deficiencies and actively monitor audit-related remediation and management action plans
 - Be a key influencer of operational efficiency and well-controlled change management
 - Identify and monitor key significant risks for areas such as fraud, regulatory compliance, and adherence to internal corporate policies (corporate travel, vendor selection, etc.)
 - Drive annual, quarterly and on-going Sarbanes-Oxley 404 compliance, certifications and compliance training
 - Continue to refresh the store audit program to ensure responsive to greatest store-related risks, both in the US and internationally
 - With legal, support annual associate training on Corporate Ethics, Code of Conduct, Insider Trading, Confidentiality and Anti-Corruption (Foreign Corrupt Practices Act)

EDUCATION **NEW YORK UNIVERSITY** **Bachelor's Degree in Business**

- SKILLS**
- Strong analytical, organizational and time management skills, able to work independently
 - Self-reliant and have strong initiative as well as possess solid business judgment
 - Enthusiastic, self-motivated, strong interest in learning, effective under pressure and willing to take personal responsibility/accountability
 - Strong knowledge of information technology systems and infrastructure
 - Strong diplomatic and negotiation skills
 - Commitment and strong work ethic
 - Strong project management, organizational, and presentation skills
 - Ability to synthesize and articulate complex ideas
 - Ability to manage projects within our function and across the company while multi-tasking

- Ability to develop and maintain effective working relationships with peers and business partners