



## Aletha Grant

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| <b>EXPERIENCE</b> | <b>VP AUDIT MANAGER</b>   | <b>Houston, TX</b>                     |
| 11/2015 – present | <ul style="list-style-type: none"><li>• Perform audit work in accordance with department and professional standards and complete assignments in an efficient manner</li><li>• Assist in the review and improvement of global IA methodology and practices through</li><li>• Makes decisions on a broad range of factors, with Barclays values at heart</li><li>• Finalize audit findings and use judgment to provide an overall opinion on the control environment</li><li>• Working knowledge of Regulatory Compliance</li><li>• Support management in identifying resolutions for control issues identified</li><li>• Stay up to date with evolving industry and regulatory developments impacting the business</li></ul>   |  |
| 11/2012 – 06/2015 | <b>VP, AUDIT</b>  | <b>Los Angeles, CA</b>                 |
|                   | <ul style="list-style-type: none"><li>• Proven track record of high performance in previous roles to include senior client management</li><li>• Develops and manages the Compliance Audit team's budget</li><li>• Assisting the Lead Manager with the preparation of the audit report and other presentations</li><li>• Track and report the outcome of Audit Analytic engagements, including an inventory of analytics performed for future search and reference by team members</li><li>• Makes a positive contribution as demonstrated by</li><li>• Assisting in various aspects of the audit planning process including logistics, scoping, staffing and audit program definition</li><li>• Influencing leaders and managers in Internal Audit, across Finance and the larger LFG organization</li></ul>  |  |
| 12/2008 – 06/2012 | <b>VP AUDIT</b>   | <b>Detroit, MI</b>                     |
|                   | <ul style="list-style-type: none"><li>• Advise and apprise promptly the ED/SVP/VP of the team of all major risk, control and regulatory issues arising during the audit and continuous monitoring</li><li>• Provides senior level advise to smart control recommendations through counseling, coaching and challenging the audit staff</li><li>• Identifies audit strategies for the specific audit assignment or project and influences the planning, interviewing, and assessment of controls and procedures</li><li>• Structures the process of testing of controls and procedures by giving specific advice</li><li>• Lead projects from initiation through execution including phases such as planning, analysis, design, development and implementation</li><li>• Test and follow up all outstanding audit issues for proper implementation during each audit engagement</li><li>• Update and maintain audit risk matrices, including audit working papers for each audit engagement</li></ul>  |  |
| <b>EDUCATION</b>  | <b>CHAPMAN UNIVERSITY</b>   | <b>Bachelor's Degree in Accounting</b> |
| <b>SKILLS</b>     | <ul style="list-style-type: none"><li>• In collaboration with the General Auditor and Senior Management develops and implements a comprehensive and flexible Compliance audit plan using risk- based methodology. Where appropriate coordinates and collaborates with the Information Technology, Operational audit teams, Sarbanes-Oxley Project Management Office, Legal, Corporate Compliance and External auditors</li><li>• Examines and evaluates the adequacy and effectiveness of the company's system of internal control and the quality of performance in carrying out assigned responsibilities. Works with management to address issues including the development of remediation plans</li><li>• Continues to evolve the scope and perspective of audit so that it aligns with cross-functional business processes in a cohesive manner, rather than solely around discrete functions or businesses</li><li>• Implements the audit plan, including, as appropriate, any special tasks or projects requested by management or the audit committee</li><li>• Assesses the current compliance audit team and leads the succession planning, recruitment, and development of employees. Assists in building a function that is “ World Class” and viewed as providing future leaders for the corporation</li><li>• Prepares periodic reports to the General Auditor providing a status and results of the Audit plan and the sufficiency of Compliance audit resources</li><li>• Develops and manages the Compliance Audit team's budget</li></ul> |  |

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