



Rosalinda Koch

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EXPERIENCE

11/2015 – present

VP PRIVACY SME AUDIT MANAGER

Chicago, IL

- Lead audit engagements from planning to reporting with limited supervision and deliver a quality product in line with department and industry standards and expectations
- Identify risks, evaluate, test and report on the adequacy and effectiveness of management controls
- Finalize audit findings and use judgment to provide an overall opinion on the control environment
- Provide subject matter expertise on Data Protection and Privacy to the Control Organization and across the Audit Department, globally
- Build and maintain strong relationships with Corporate Privacy Office, Privacy-Legal, and other relevant stakeholders, establishing a culture of engagement while adding value
- Identify cross-business issues and trends and communicate those across audits teams to affect changes to planned coverage. Escalate key control issues to senior management
- Set aggressive standards for efficient management of time and budgets

05/2012 – 07/2015

VP / AUDIT MANAGER

San Francisco, CA

- Effectively manage team members during audit engagements including performing timely reviews and providing constructive feedback
- Establish and maintain relationships and provide control advice to Finance senior management
- Effectively manage audit engagement teams, performing timely review and providing honest and constructive feedback
- Work closely with colleagues in the early identification of emerging issues and ensure timely reporting to Audit senior management and business stakeholders
- Lead audit engagements covering Corporate Sector functions including risk assessments, audit planning, audit testing, control evaluation, audit report drafting and follow-up and verification of issue closure
- Communicate audit findings to management and identify opportunities for improvement in the design and effectiveness of key controls while strengthening the control framework
- Lead audit engagements covering Corporate Sector functions including risk assessments, planning, testing, control evaluation, reporting and issue validation with a focus on Legal team

02/2009 – 02/2012

AUDIT MANAGER, VP

Los Angeles, CA

- Review quantitative modeling processes of Credit Risk (models and analytical tools); and validations of development, implementation and ongoing monitoring
- Provide Subject Matter Expert (SME) support on credit risk analytics in other cross-matrix Internal Audit engagements
- Run audits, manage projects and participate in audit assignments in a team environment to ensure on-time delivery and quality control
- Review quantitative modeling processes of Stress Testing (from scenario generation, to models and analytical tools and to ratio calculations); and validations of development, implementation and ongoing monitoring
- Review model risk management on stress testing model use scope and limit-setting, remediation of validation findings, and ongoing monitoring processes in both CCAR and DFAST
- Provide Subject Matter Expert (SME) support on stress testing analytics (Credit, Equity, Market, Operation, Balance Sheet and PPNR) in other cross-matrix Internal Audit engagements
- Assign and review the work of audit team members for assigned engagements in coordination with Audit Director

EDUCATION

INDIANA UNIVERSITY

Bachelor's Degree in Finance

SKILLS

- Strong attention to detail
- Strong verbal and written communication, including the ability to write clear, concise, non-technical and persuasive risk evaluation reports
- Microsoft Excel proficient
- Great interpersonal skills
- Solid analytical, mathematical, and research skills

- Excellent communication skills (written and verbal)
- Highly organized
- Ability to identify and understand controls in changing environments
- Ability to interact and communicate effectively with senior leadership, regulators, and staff at all levels
- Ability to manage (in-charge) and execute the full life cycle of an audit, from planning to audit close