



Ludwig Heller

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EXPERIENCE	AUDIT MANAGER / SENIOR MANAGER	New York, NY
10/2016 – present	<ul style="list-style-type: none">• Identify accounting, financial statement, and reporting issues, based on professional guidelines including U.S. GAAP and IFRS• Provide recommendations for business and process improvements based upon knowledge gained relative to the client's operations, processes, and business objectives• Proactively build relationships and communicate effectively with the client to provide superior client service• Actively participate in business development efforts to include working collaboratively with Senior Managers, Partners, and Marketing to target and build relationships with client prospects and acquire additional business• Manage, supervise, train and lead the staff through counsel, guidance and coaching. Evaluate performance and participate in individual's performance appraisal process• Manage engagements and projects in order to achieve specific revenue and profit objectives and deliver designated engagement realization through the management of the client's budget, invoicing for time incurred and collecting fees billed• Invest in professional development through active participation in training sessions and networking events both internally and externally	
08/2012 – 06/2016	SENIOR MANAGER, R&D AUDIT	Phoenix, AZ
	<ul style="list-style-type: none">• Support development and continuous improvement of audit system and processes, including qualification of new auditors• Risk management/escalation and governance processes• Carry out an independent quality oversight across the R&D organization by performing internal and external audits in order to assess• Provide interpretation and consultation to project teams on (new/updated) regulations, guidelines, compliance status and policies and procedures• Support continuous improvement of audit system and processes• This is a Global position candidates may reside anywhere• Carry out independent review of topics identified on a risk based analysis in the relevant part of the organization (i.e. Audit Universe)	
01/2008 – 03/2012	SENIOR MANAGER AUDIT	Chicago, IL
	<ul style="list-style-type: none">• Proficient at analyzing audit issues and operating weaknesses as well as working with stakeholders to efficiently and effectively address them• Proficient at analysing audit issues and operating weaknesses as well as working with stakeholders to efficiently and effectively address them• Strong knowledge in TID Reporting and TID Filing• Consult with clients on various internal accounting related transactions• Proficiency with computerized accounting software and MS Office• Provide strategic and tactical accounting advice and recommendations to firm's clientele• Effective at writing audit reports that adequately communicate the issues and risks to HSBC	
EDUCATION	BALL STATE UNIVERSITY	Bachelor's Degree in Accounting
SKILLS	<ul style="list-style-type: none">• Ability to multi task complex audits and work with other audit teams will be essential• Effective written and oral communication skills• Experience in Compliance / Operations audits of wholesale / capital markets businesses• Lead/ Support/ Participate in of DCSS audit, risk and control inquiries and deliverables, internal and external to DCSS• Develop an audit, risk and control framework, maturity model, roadmap and proactive management practice• Measure, monitor, identify and initiate preventive management of DCSS audit, risk and control objectives. Directs the development of resolutions, plans and strategies for operational maturity	

advancement

- Sets direction at an operational level, works autonomously in the management of the unit and collaborates with other business heads to establish and execute on common goals
- In partnership with other teams, ensure a close relationship is maintained, in order to keep informed of their progress and completeness of deliverables
- Promote Lean and Agile practices across the team and continuously evolve measurement capabilities
- Understands strategic direction (including financials) and champions alliances to benefit the Bank and/or department; advocates for operational improvements to enhance the division's value to the organization