



Ewell Stokes

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EXPERIENCE

02/2016 – present

IA SENIOR AUDIT MANAGER

Boston, MA

- Working with the Lead Auditor, develop training on market risk to share with Internal Audit team
- Recruits staff, develops talent, builds effective teams, and manages a budget
- Develop and maintain effective relationships with key Risk managers and staff as well as local business and supporting contacts
- Participates in major business initiatives and proactively advises and assists the business on change initiatives
- Applies comprehensive expertise in one or more of the corporation's businesses and leverages this knowledge into a leadership role in one or more teams
- Gain knowledge and keep abreast of industry developments, new laws, rules, products, technologies and applications and considers implications for the business and audit universe and plan
- Proactively advances integrated auditing concepts and use of technology; closely follows latest trends in own field and adapts them for application within own job and the business

04/2012 – 01/2016

GF-SENIOR AUDIT MANAGER

New York, NY

- Work under QA Director for Singapore and U.S. in partnering and relationship management building with the Chief Auditor for Singapore and U.S
- Assist in the review and improvement of global Internal Audit methodology and practices through
- Partners with Directors and Managing Directors to develop approaches for addressing broader corporate emerging issues
- Taking the lead globally for monitoring, reviewing and challenging any transformation and /or development initiatives
- Participates in major business initiatives and pro-actively advises and assists the business on change initiatives
- Leads the professional development of Internal Audit staff
- Reviews and approves Business Monitoring Quarterly Summaries, Risk Assessments and Issue Validation work papers

01/2007 – 11/2011

SENIOR AUDIT MANAGER

Dallas, TX

- Taking the lead globally for monitoring, reviewing and challenging any transformation and /or development initiatives across Risk CoE IA teams
- Assisting in the development of tactical plans relative to the implementation of the internal audit function's overall goals and objectives
- Assisting in the development of tactical plans relative to the implementation of the internal audit function's overall goals and objectives
- Developing, rolling and delivering out targeted methodology training
- Preparing reports of audit findings for UBS senior management at local, global, functional and Group levels
- Providing practical, innovative, and value-added solutions to issues identified
- Providing day to day support and guidance to auditors on methodology-related matters

EDUCATION

UNIVERSITY OF GEORGIA

Bachelor's Degree in Business

SKILLS

- Proven ability in taking responsibility for executing concurrently on a portfolio of high quality deliverables to stakeholders according to strict timetables
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- Strong analytical skills. Ability to analyze large amounts of data and turn it into usable information
- Strong skills in maintaining great client service and strong time management skills
- Strong knowledge of Global Markets lines of business and related Compliance, operational and financial controls
- Good understanding of financial markets, treasury, asset & liability management, and / or risk control aspects, and important regulatory trends

- Good working knowledge of Microsoft office packages (Word, Excel, PowerPoint)
- Ability to interact with clients, prospects, all levels of staff, and colleagues in a professional fashion
- Excellent analytical skills and proven ability to improve efficiency and effectiveness of processes and teams
- Strong business knowledge, analytical and problem-solving skills