



Sydney Mann

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EXPERIENCE

01/2015 – present

AVP-INTERNAL AUDIT CCAR

Detroit, MI

- Provide systemic and thematic issues and raise cross-functional topics as a result of regulatory reporting audits related to CCAR/IHC
- Assist in executing CCAR credit risk management related audits as well as covering credit risk related aspects of integrated business audits in accordance with Internal Audit standards & methodology, relevant regulatory expectations, and Bank's policies and procedures. These audits will focus on Risk Identification, Risk Measurement, Risk Appetite Framework, Loss Estimation, ALLL, Risk Data and Risk Reporting
- Support the delivery of CCAR/IHC audit plan relating to credit risk management processes and credit risk measurement approaches to ensure the use of sound risk management controls and regulatory compliance
- Assist the team in the execution of a program of continuous risk and performance monitoring (e.g. business developments, emerging risks and control issues)
- Assist the Audit Manager in planning, budgeting, scoping, execution and delivery of CCAR/IHC audits related to credit risk management etc
- Assist in executing CCAR market risk management related audits as well as covering market risk related aspects of integrated business audits in accordance with Internal Audit standards & methodology, relevant regulatory expectations, and Bank's policies and procedures. These audits will focus on market risk model applications including VaR, Fair-value losses on securities, Market and Default Risk on Trading Exposure exposures, Global Market Shock, Market Risk Data and Reporting
- Support the delivery of CCAR/IHC audit plan relating to market risk management processes and market risk measurement approaches to ensure the use of sound risk management controls and regulatory compliance

05/2008 – 09/2014

AVP, INTERNAL AUDIT

Detroit, MI

- Demonstrate good knowledge of risks and related impacts for assigned areas. Applies this knowledge to the scoping of audits when in-charge of an audit
- Participate in the annual risk assessment process
- Make an effort to gain management's acceptance of our value-added services
- Offer effective solutions to problems, including process improvements
- Actively seek additional responsibilities and learning opportunities
- Commitment to professional development through continuing education. Pursuing a relevant certification is highly encouraged
- Responsible for the development and implementation of a comprehensive Society-wide internal audit function

02/2006 – 04/2008

AVP INTERNAL AUDIT

New York, NY

- Create dashboards that provide aggregate information regarding tests performed and outcome of results; perform ad hoc analyses to identify potential issues
- Handle day to day management of the internal audit function and supervision of 2-4 person audit team reporting into this position
- Perform an annual risk assessment of the business and continuous monitoring of the plan based on the risk analysis of the critical processes
- Understand the flow of data, business processes/technical interfaces that would be created or impacted
- Deliver technical advice, assistance and instructions to the audit team
- Implement and effectively execute an internal audit plan for the business by proactively managing competing priorities
- Maintain the data dictionary, business data glossary, naming conventions, standards and abbreviations and their intended meanings in a central repository

EDUCATION

GEORGIA STATE UNIVERSITY

Bachelor's Degree in
Business

SKILLS

- Knowledge of Rates Products

- Strong technical and analytical skills
- Insightful judgment to make sound decisions
- Experience in risk based auditing
- Understanding of the trade life cycle
- Has practical experience working in or auditing investment banking environment
- Practical understanding of relevant regulatory environment
- Financial services experience
- Proven track record of high performance in previous roles to include senior stakeholder management
- Work with people at different levels within the organization to accomplish a common goal