



Colten Gottlieb

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EXPERIENCE

AUDIT PROFESSIONAL PRACTICES MANAGER PORTLAND

Boston, MA

09/2014 – present

- Overall definition and advancement of the Audit Methodology, including risk-based cyclical audit plan, continuous monitoring, SOX testing, and targeted reviews
- Responsible for engaging residential appraisals for the lending departments
- Lead/facilitate Internal Audit's annual risk assessment and planning exercise, including quarterly plan refreshes
- Coordination and facilitation of the design and definition of the Internal Audit universe, including associated policies, standards, and practice aids
- Organize the department's overall training program
- Manage/lead special projects
- Provide input to the Audit Group's strategic plan

01/2011 – 07/2014

AUDIT PROFESSIONAL PRACTICES MANAGER

San Francisco, CA

- Design and implement audit program enhancements, applying knowledge of current large-bank audit practices and regulatory expectations
- Monitor and drive operational performance of the Audit Services division
- Lead the design and implementation of innovative audit tool and workflow solutions
- Apply a structured change management approach for change initiatives
- Contribute to the development of training material on audit practices and processes. Facilitate training sessions
- Serve as a member of the Audit Risk Governance Council
- Oversee development of master short and long range change plans and individual project plans. Drive timely completion of change initiatives and project plans. Provide timely project tracking and reporting to change sponsors and key stakeholders

08/2006 – 10/2010

AUDIT PROFESSIONAL PRACTICES

Los Angeles, CA

- Applies adult learning principles
- Manage the classroom as Lead Facilitator
- Support the post program development plans of participants
- Coaches and counsels trainees on performance in class and through observations in the classroom
- Demonstrates content expertise
- Learns to deliver a variety of training methods within approved programs
- Delivers material in a clear, concise, engaging and interactive manner

EDUCATION

FLORIDA INTERNATIONAL UNIVERSITY

Bachelor's Degree in Accounting

SKILLS

- Strong verbal and written communication skills, including the ability to write clear, concise, non-technical and persuasive risk evaluation reports
- Ability to interact and communicate effectively with senior leadership, regulators, and staff at all levels
- Proven track record in project management and integration efforts across multiple groups
- Ability to perform risk assessments and analyze risk trends at a macro level, identifying signs of changing risk levels and/or symptoms of process control breakdowns
- Critical thinking, analytical, and project management skills
- Ability to lead, influence and implement quality assurance program strategy, including development of a QA framework for identifying and addressing issues / weaknesses
- 10+ years of related experience, including internal audit experience in a large complex financial institution